

**UNITED STATES BANKRUPTCY COURT  
SOUTHERN DISTRICT OF NEW YORK**

In re:

LEHMAN BROTHERS HOLDINGS, INC., *et al.*

Debtors.

----- X  
: Chapter 11  
:  
: Case No. 08-13555 (SCC)  
:  
: (Jointly Administered)  
:  
: Ref. Docket Nos. 53757, 54678,  
: 54679  
:  
: X  
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**AFFIDAVIT OF SERVICE**

STATE OF NEW YORK     )  
                                  ) ss.:  
COUNTY OF NEW YORK    )

DANIEL RAMIREZ, being duly sworn, deposes and says:

1. I am employed as a Noticing Coordinator by Epiq Bankruptcy Solutions, LLC, located at 777 Third Avenue, New York, New York 10017. I am over the age of eighteen years and am not a party to the above-captioned action.
2. On February 3, 2017, I caused to be served the “Notice: Filing of Transfer of Claim Pursuant to Federal Rule of Bankruptcy Procedure 3001(e)(2) or (4),” dated February 3, 2017, a sample of which is annexed hereto as Exhibit A, by causing true and correct copies to be enclosed securely in separate postage pre-paid envelopes and delivered via first class mail to those parties listed on the annexed Exhibit B.
3. All envelopes utilized in the service of the foregoing contained the following legend:  
“LEGAL DOCUMENTS ENCLOSED. PLEASE DIRECT TO THE ATTENTION OF ADDRESSEE, PRESIDENT OR LEGAL DEPARTMENT.”

/s/ Daniel Ramirez  
Daniel Ramirez

Sworn to before me this  
6<sup>th</sup> day of February, 2017

/s/ Regina Amporfro

Notary Public, State of New York  
No. 01AM6064508  
Qualified in Bronx County  
Commission Expires September 24, 2017

## **EXHIBIT A**

In re

LEHMAN BROTHERS HOLDINGS INC., et al.,

Debtors.

Chapter 11 Case No.

08-13555 (SCC)

(Jointly Administered)

**NOTICE: FILING OF TRANSFER OF CLAIM PURSUANT TO FEDERAL RULE OF  
BANKRUPTCY PROCEDURE 3001(e)(2) or (4)**

Note: For Purposes of this form, **transferor** refers to the claimant who is selling or otherwise assigning its claim. While **transferee** refers to the party who is purchasing or otherwise being assigned the claim.

To: BAR(23) MAILID \*\*\* 000116026698 \*\*\* LBH TRFNTC (ADDRESS2, ADRKEYID3) 31745



HONGKONG AND SHANGHAI BANKING CORPORATION LTD, SINGAPORE BRANCH, THE  
ALLEN & OVERY LLP  
JOHN KIBLER JONATHAN CHO  
1221 AVENUE OF THE AMERICAS  
NEW YORK, NY 10020

HONGKONG AND SHANGHAI BANKING  
CORPORATION LTD, SINGAPORE BRANCH, THE  
TRANSFEROR: HSBC PRIVATE BANK (SUISSE) SA,  
SINGAPORE BRANCH  
ATTN: SARAH CHAN/DIRECTOR  
LEVEL 13 & 14  
HONG KONG  
HONG KONG

Please note that your claim # 56671-24 in the above referenced case and in the amount of  
\$714,355.84 Unliquidated has been transferred (unless previously expunged by court order)

UBS AG  
TRANSFEROR: HONGKONG AND SHANGHAI BANKING CORPORATION LTD  
ATTN: HUGO KOLLER  
BAHNHOFSTRASSE 45  
8001 ZURICH  
SWITZERLAND

No action is required if you do not object to the transfer of your claim. However **IF YOU OBJECT TO THE TRANSFER OF YOUR CLAIM, YOU MUST, WITHIN 21 DAYS OF THE DATE OF THIS NOTICE, FILE A WRITTEN OBJECTION TO THE TRANSFER WITH:**

UNITED STATES BANKRUPTCY COURT  
Southern District of New York  
One Bowling Green  
New York, NY 10004-1408

Send a copy of your objection to the transferee. Refer to INTERNAL CONTROL NUMBER 53757 in your objection. If you file an objection, a hearing will be scheduled. **IF YOUR OBJECTION IS NOT TIMELY FILED, THE TRANSFEEE WILL BE SUBSTITUTED ON OUR RECORDS AS THE CLAIMANT.**

Date: 02/03/2017

Vito Genna, Clerk of Court

/s/ Lauren Rodriguez

EPIQ BANKRUPTCY SOLUTIONS, LLC

as claims agent for the debtor(s).

FOR EBS USE ONLY: This notice was mailed to the transferor, transferee, and debtor(s) counsel by first class mail, postage prepaid on February 3, 2017.

## **EXHIBIT B**

Service List  
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Claim Name	Address Information
HONGKONG AND SHANGHAI BANKING CORPORATION LTD, SINGAPORE BRANCH, THE	TRANSFEROR: HSBC PRIVATE BANK (SUISSE) SA, SINGAPORE BRANCH, ATTN: SARAH CHAN/DIRECTOR, LEVEL 13 & 14, HONG KONG HONG KONG
HONGKONG AND SHANGHAI BANKING CORPORATION LTD, SINGAPORE BRANCH, THE	ALLEN & OVERY LLP, JOHN KIBLER JONATHAN CHO, 1221 AVENUE OF THE AMERICAS, NEW YORK, NY 10020
HONGKONG AND SHANGHAI BANKING CORPORATION LTD, SINGAPORE BRANCH, THE	LAW OFFICES OF TALLY M. WIENER, ESQ., JTALLY M. WIENER, 119 WEST 72ND STREET, PMB 350, NEW YORK, NY 10023
INTESA SANPAOLO S.P.A.	ATTN: LUIGI FIORI CARONES, LEGAL DEPARTMENT, VIA VERDI 8, MILANO 20121 ITALY
ST. GALLER KANTONALBANK AG	TRANSFEROR: UBS AG, ATTN: ANINA ZURCHER / SFCA, ST. LEONHARDSTRASSE 25, ST. GALLER 9001 SWITZERLAND
UBS AG	TRANSFEROR: HONGKONG AND SHANGHAI BANKING CORPORATION LTD, ATTN: HUGO KOLLER, BAHNHOFSTRASSE 45, 8001 ZURICH SWITZERLAND
UBS AG	BAHNHOFSTR. 45, ATTN: HUGO KOLLER, ZURICH 8001 SWITZERLAND
UBS AG	ATTN: HUGO KOLLER, Q9C/O5GC, P.O. BOX, ZURICH 8098 SWITZERLAND
UNIONE DI BANCHE ITALIANE SPA	TRANSFEROR: INTESA SANPAOLO S.P.A., ATTN: ROBERTA BOSOTTI, PIAZZA VITTORIO VENETO, 8, BERGAMO, BG 24051 ITALY

<b>Total Creditor Count 9</b>
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